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# ANOMALIES IN THE HEAT-CAPACITY SIGNATURES OF SUBMONOLAYER ADSORBATES WITH ATTRACTIVE LATERAL INTERACTIONS

Young Sik Kim<sup>†</sup>
Department of Chemistry
University of Rochester
Rochester, New York 14627

Franco Battaglia\* and Thomas F. George Departments of Physics & Astronomy and Chemistry 239 Fronczak Hall State University of New York at Buffalo Buffalo, New York 14260



#### Abstract

The analytic closed form of the heat capacity signatures previously derived for the McQuistan-Hock (MQH) model of a lattice gas is applied to various adsorbed systems for which the lateral interaction varies from a few meV to about 300 meV. It is shown that whenever the adsorption system can be described by a two-dimensional gas on which the substrate effects are less important than the adatom-adatom interactions, the computed temperatures at which the heat-capacity signatures display their maximum are in excellent agreement with the experimental measurements.

Present address: Departments of Physics & Astronomy and Chemistry

239 Fronczak Hall

State University of New York at Buffalo

Buffalo, New York 14260

\* Permanent address: Dipartimento di Chimica

Università della Basilicata

85100 Potenza, Italy

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#### I. Introduction

Recently McQuistan and Hock have developed an exact solution for the distribution function of q indistinguishable particles on a 2  $\times$  N lattice, where N is a positive integer. In a previous paper  $^2$  (hereafter called paper I), we have derived an analytic closed form for the heat-capacity signatures from the McQuistan-Hock (MQH) model and have tested the model by comparing our computed temperatures  $T_{c}$  at which the heat capacity displays its maximum value with experimental measurements on Ne, Ar and Xe adsorbed on graphite, and with some other model calculations. In spite of its simplicity and its being an almost one-dimensional model, the MQH model gave for these systems good predictions for the temperatures at which the heat-capacity signatures display their anomaly. For instance, denoting by R the ratio between T and the interaction strength |V<sub>11</sub>| among two nearest-neighbor occupied pairs, the one-dimensional Ising model, the MQH model and the average experimental measurements for Ne, Ar and Xe on graphite give for R the values of 0.21, 0.43 and 0.46, respectively. MQH model obviously represents a logical extension from a one-dimensional to more realistic full two-dimensional representation of the physical situation.

One might wonder about the justification of even considering a  $2 \times N$  model given that there are excellent numerical techniques for finding with well-controlled accuracy the solution to the full two-dimensional problem. The justification resides in the very fact that in going from the one-dimensional model to the  $2 \times N$  one, there is an abrupt significant improvement in the predictions of the experiments as far as the  $T_c$ 's are concerned. This implies not only that moving to the  $N \times N$  model should not improve the results significantly, but also and more importantly, that most of the physics of the liquid-vapor equilibrium in two-dimensions is already in the  $2 \times N$  quasi-one-dimensional model. Actually, as we shall see, the model describes only a limited

portion of the full phase diagram of a two-dimensional system, namely, the one relative to the liquid-vapor equilibrium. Furthermore, the model has the advantage of being exactly solvable and containing very few parameters, namely  $V_{11}$ ,  $V_{0}$  (the interaction strength between an adparticle and its own site) and  $V_{00}$ (the interaction strength between two vacant nearest-neighbor sites). latter parameter might turn out to be quite valuable in that it accounts for distortion of the substrate, an effect that has been invoked by various authors  $^{4-6}$  to explain some details of the experimental heat-capacity signatures. Due to the remarkable agreement between the experimental values of  $T_c$  and the ones calculated from the MQH model for the systems we have made our test, we have been encouraged to apply the model to a wider variety of systems so as to scan a greater number of experimental data. Our choices have been limited only by the availability of accurate estimates of the interaction strengths and accurate experimental heat-capacity signatures. In particular, we have considered Kr,  $\mathrm{CH}_{\Delta}$ and  $\mathbf{0}_{2}$  on graphite, and  $\mathbf{Cu}$ ,  $\mathbf{Ag}$ ,  $\mathbf{Au}$ ,  $\mathbf{Ni}$  and  $\mathbf{Pd}$  on tungsten. In the next section we review briefly the MQH model in connection with the computation of heatcapacity signatures. In the third and last section we present and discuss our results.

#### II. Theory

The theory of the  $2 \times N$  lattice has been extensively described in detail in Ref. 1 and its application to the computation of heat-capacity signatures in Ref. 2 (paper I). In this section we give only the relevant results. In order to study the behavior of the heat capacity as a function of temperature, keeping the spreading pressure p and the number of adparticles q as constant, it is most convenient to work within the isothermal-isobaric ensemble, whose partition

function for a system of q indistinguishable particles on a  $2\times N$  lattice is given by

$$\Delta(q,\beta,p) = t^q \sum_{N=1}^{\infty} f_N(q,\beta) \eta^N , \qquad (1)$$

where  $\eta = e^{-2\beta p}$ ,  $t = e^{-\beta V_0}$ , and  $\beta^{-1}$  is the temperature times the Boltzmann constant  $k_B$ . In Eq. (1),

$$f_N(q,\beta) = \sum_{n_{00}} \sum_{n_{11}} A[N,q,n_{00},n_{11}] x^{n_{11}} y^{n_{00}}$$
, (2)

where  $x \equiv e^{-V_{11}\beta}$  and  $y \equiv e^{-V_{00}\beta}$ . The number of unique ways q indistinguishable particles can be arranged on a rectangular 2 × N lattice to form  $n_{11}$  occupied nearest-neighbor pairs and  $n_{00}$  vacant nearest-neighbor pairs is given by  $A[N,q,n_{00},n_{11}]$  by making use of a 15-term recursion relation. In paper I we have shown that  $\Delta(q,\beta,p)$  can be written as

$$\Delta_{q} = \sum_{j=1}^{3} \chi(z_{j}) z_{j}^{-q} , \quad 0 < q \le 2N$$
 (3)

where the  $z_j$ 's are the solutions of the equation

$$1 + D_1 z + D_2 z^2 + D_3 z^3 = 0 (4)$$

and

$$\chi(z) = -\frac{c_0 + c_1 z + c_2^2 z}{c_1 + 2c_2 z + 3c_3 z^2} . (5)$$

In Eqs. (4) and (5) the coefficients are defined as follows (j = 1,2,3):

$$d_0^2 c_j - c_{j+1} d_0 - c_0 d_{j+1}$$
 (6)

$$D_{j} - d_{j}/d_{0} \quad , \tag{7}$$

where

$$c_0 - y\eta \tag{8a}$$

$$c_1 = \eta t[2d_0 + y\eta(4y - xy - 1)]$$
 (8b)

$$c_2 = \eta t^2 [xd_0 + xy\eta(2-x^2)]$$
 (8c)

$$c_3 = x\eta^2 t^3 \{ [x^2y\eta + 4y\eta(1-x)](xy-1) \}$$

+ 
$$(4 - y - 2x)(x - xy^3 \eta + y^2 \eta) - 1$$
 (8d)

$$d_0 = 1 - y^3 \eta \tag{9a}$$

$$d_1 = -\eta(xyd_0 + y^3\eta + 1)t$$
 (9b)

$$d_2 = -\eta(x^3d_0 + xy\eta)t^2$$
 (9c)

$$d_3 = x\eta^2(xy-1)[x^2d_0 + y\eta(2xy - 1)]t^3 . (9d)$$

From  $\Delta(q,\beta,p)$ , the heat capacity is given by

$$C(q,\beta,p) = k_B \beta^2 \left[ \frac{\Delta''}{\Delta} - \left( \frac{\Delta'}{\Delta} \right)^2 \right] , \qquad (10)$$

where the prime denotes the derivative with respect to  $\beta$ ; the value  $\beta_c = (k_B T_c)^{-1}$  at which  $C(q,\beta,p)$  exhibits its maximum is given by the solution of the equation

$$2\Delta(\Delta')^{2} + 3\beta\Delta\Delta'\Delta'' - 2\beta(\Delta')^{3} - \beta\Delta^{2}\Delta'' - 2\Delta^{2}\Delta'' = 0 . \tag{11}$$

#### III. Results and Discussion

The MQH model contains very few parameters, namely  $v_0$ ,  $v_{00}$  and  $v_{11}$ ; yet those parameters are apparently sufficient to determine the "gross" features of the statistics of adsorption. In this paper we are interested at the simulation of the critical temperatures as determined by the heat-capacity signatures. Since the effect of  ${\rm V}_{00}$  is expected to be small and since there is no reliable estimate for it, we have chosen  $V_{00} \equiv 0$ , which is equivalent to assuming a "rigid" lattice in which there is no interaction between two nearest-neighbor This is the same choice made by Hock and McQuistan in their vacant sites. computation of adsorption isotherms. Moreover, as can be seen from Eqs. (1), (3), (4), (9) and (10), although the partition function and the Gibbs free energy do depend on  $V_0$ , the heat capacity,  $C(q,\beta,p)$  in the thermodynamic limit does not. The role of the substrate is analogous to that of a third body in the description of the interaction between two particles embedded in a three-dimensional medium, and the only task the substrate accomplishes is to force the adsorbed particles onto a plane. The all-important parameter here is  $V_{11}$ , i.e., the interaction strength between two occupied pairs, whose value is, of course, different than the free-space value. For Kr and  $\mathrm{CH}_{L}$  we refer to the results obtained by Cole and coworkers 8,9 which are, to our knowledge, the most reliable data available. Their value of  $|V_{11}| = 170 \text{ K}$  for Kr is in remarkable agreement with Putnam's  $^{10}$ value of 171 K. For  $CH_4$  we take the value of  $|V_{11}| = 177$  K. Another widelystudied system is two-dimensional oxygen adsorbed on graphite. It has been investigated experimentally 11 with use of heat capacity measurements, and calculations have been performed on it especially by Etters and coworkers. 12 These authors have used (and so do we) the estimate by English and Venables  $^{13}$  for  $|V_{11}|$ , i.e., 54 K. All systems mentioned so far, together with the ones studied in paper I, are adsorbed systems with a lateral interaction of the order of 10 meV. Recently, Kolaczkiewicz and Bauer 14 have studied chemisorbed layers of metals (Cu, Ag, Au, Ni and Pd) for which thermal desorption spectroscopy measurements have shown that their desorption energies from W[100] surfaces increase with coverage, implying that the lateral interactions which are on the order of 300 meV are attractive. We shall compare our results for this range of adatom-adatom interactions with the ones of Kolaczkiewicz and Bauer, thereby showing that the MQH model has in fact a wide range of applicability.

Critical temperatures for the mentioned systems have been determined by various techniques, especially from heat capacity signatures,  $^{15-21}$  high-resolution synchrotron X-ray $^{22,23}$  and low energy electron $^{24}$  diffraction studies, thermal desorption spectroscopy,  $^{25,26}$  and from the temperature dependence of the work function of adsorbate-covered surfaces.  $^{27}$  As shown in the previous section, we are able to extract from the MQH series expansion for the canonical partition function, (Eq. (21)), a closed analytic form for the isothermal-isobaric partition function (Eq. (3)) from which one can compute heat-capacity signatures, (Eq. (10)) whose maximum is exhibited at the temperature  $^{T}_{c}$  that solves Eq. (11).

In the MQH model the dependence of  $T_c$  on  $V_{11}$  is essentially linear with a slope that depends smoothly on the coverage  $\theta$  (in particular, in the range  $0.2 < \theta < 0.7$ , the slope varies between 0.37 and 0.44). In Figs. 1 and 2 we display  $T_c$  as a function of  $|V_{11}|$  for two ranges of  $|V_{11}|$ , namely 0 - 300 K (Fig. 1) and 2000-4000 K (Fig. 2). To compare with data available from literature, we have chosen  $\theta$  = 0.5 for the systems on graphite and  $\theta$  = 0.3 for the ones on tungsten. With the exception of Kr and Xe, the agreement between the prediction from the MQH and the experimental values for the systems shown in Fig. 1 is very good. According to Dash,  $^{28}$  one can distinguish between three temperature-dependent types of film growing: either three-dimensional bulk develops asymptotically from the two-dimensional system, or there is no film but cluster growth, or, after the first two or three monolayers, cluster growth begins. Clearly, systems for which the film grows monolayer after monolayer are suitable to be well simulated by a two-dimensional lattice-gas model such as the MQH one.

In order to understand why the model gives such excellent predictions for Ne,  $O_2$ , Ar and  $CH_4$  on graphite, but not for Kr and Xe, let us first recall that adsorbed systems whose two-dimensional space symmetry results from the two-dimensional space symmetry of the substrate surface by adding or subtracting symmetry elements are named commensurate, and that adsorbed structures whose symmetry is not related to that of the substrate are named incommensurate. In many cases the periodic substrate of the hexagonal basal surface of graphite is covered by a  $\sqrt{3}$  structure, in which the centers of non-adjacent hexagons are preferred adsorption sites and form a triangular lattice. Whether an adsorbate has commensurate phases depends on its incompatibility i, defined as i = (a-d) × 100/d, where a is the lattice constant of the  $\sqrt{3}$  structure (4.263 Å for graphite  $\frac{30}{3}$ ) and d is the lattice constant of the [111]-plane of the adsorbate species in three-dimensional bulk (Ne, Ar, Kr, Xe,  $CH_4$  and  $O_2$  condense into the

cubic system). For incompatibilities i positive and less than a substrate-dependent value  $i_{\rm o}$ , commensurate structures are energetically preferred. For graphite  $i_{\rm o}$  ~ 5, and the values of i for Ar, Kr, Xe and CH<sub>4</sub> on graphite are 14.6, 5.4, -2.8 and 1.6, respectively. <sup>29,30</sup> Adsorbates in a commensurate phase cannot be properly described by a lattice-gas model such as the MQH one, in which the only role of the substrate is to constrain the adsorbate particles on a plane. In an incommensurate phase the adatom-adatom interaction dominates on the substrate influence, and the MQH model is expected to be well suited to simulate the heat-capacity anomalies corresponding to two-dimensional incommensurate phases.

From the above values of the incompatibilities and from the fact that there is no evidence  $^{31}$  of a commensurate phase for  $^{0}$ 2 (for which the incompatibility value can be safely estimated to be i ~ 10), it is now clear why the MQH model gives an excellent prediction of the heat-capacity anomalies for  $^{0}$ 2 and Ar, and a poor prediction for Kr. (Kr displays a commensurate-incommensurate phase transition  $^{32,33}$  but, in the range 70-130 K, it occurs at coverages greater than unity; Ar was found incommensurate at all conditions studied.  $^{34,35}$ ) As already mentioned, CH<sub>4</sub> has a low value of incompatibility which might invalidate the above reasoning. This is not the case because the phase diagram of two-dimensional methane adsorbed on graphite  $^{15}$  shows a temperature-triggered commensurate-incommensurate transition at T = 47 K and coverages below 0.8 monolayers.  $^{36}$  Therefore, the anomaly at T = 75 K occurs in a region where the adsorbate-adsorbate interactions play a major role.

This is also the case of Ne, as can be seen from the phase diagram proposed by Huff and Dash<sup>4</sup> for submonolayers in the temperature range 1-20 K: melting occurs at 13.5 K, so that the anomaly at 16 K cannot involve any solid commensurate phase. (The barely stable  $(\sqrt{7} \times \sqrt{7})$ R19° structure exists only at

temperatures below 4 K. $^{37}$ ) Xe has a negative incompatibility and is therefore expected to be highly mobile, because when the [111]-plane is isolated from the bulk, its lattice constant (that in bulk is already larger than the lattice constant of the  $\sqrt{3}$  structure) becomes even larger and the incommensurate phase is generally more stable. However, xenon has already shown a peculiar behavior with its unusually high value of the ratio  $T_{2c}/T_{3c}$  in spite of its negative incompatibility. 30 This has suggested 19 that some different mechanism of localization is causing the formation of a structure which is in registry with the solid substrate and with a positive value (11.6) of the incompatibility. Whatever the case might be, we point out that although the quantitative predictions from the MQH model for adsorbates whose structure is somehow reminiscent of the substrate structure are not as excellent as for adsorbates that are, without doubt, incommensurate to the substrate, qualitative behavior is in agreement with the experimental findings, and the quantitative differences are not so serious in spite of the simplicity of the This is clearly shown for Kr on graphite and for the systems to be considered next.

In Fig. 2 we compare our results from the MQH model in a range of much stronger (200-300 meV) lateral interaction energies with systems for which accurate estimates of both adatom-adatom potentials and critical temperatures are available. Kolaczkiewicz and Bauer  $^{14}$  have filled a gap in the literature by studying chemisorbed layers with attractive lateral interactions. They have constructed from work function measurements the coexistence line of Cu, Ag, Au, Ni and Pd adsorbed on W[110], and have shown that at submonolayer coverages these systems can be described by a law of corresponding states in the form of a two-dimensional van der Waals equation (with a critical coverage at  $\theta \simeq 0.3$ ). From their adatom-adatom Lennard-Jones potential parameters, we estimate, taking into

account the harmonic zero-point energy correction  $(\frac{6\pi}{\sigma} 2^{1/3} \sqrt{\epsilon/\mu})$ , the interaction strength  ${
m V}_{11}$  ( $\sigma$  is the hard-core diameter of the adatom,  $\epsilon$  is the well depth and  $\mu$  is the reduced mass). This is displayed in Table 1 with the temperatures T  $_{c}$ calculated from the MQH model and estimated from a van der Waals fitting of the experimental data. Again we can see that the qualitative behavior of  $\mathbf{T}_{\mathbf{C}}$  as computed from the MQH model is in agreement with available data from the literature, even in the region of strong lateral interactions. The van der Waals fitting performed by Kolaczkiewicz and Bauer on their experimental data gives interaction strengths that, when used in the MQH model, give back the experimental  $T_{_{\mathrm{C}}}$  trend. Quantitatively, the results are not as satisfactory as for Ne, Ar,  ${\rm O_2}$  and  ${\rm CH_4}$  on graphite. We cannot ascribe the discrepancies to a bad estimate of the adatom-adatom interaction strength that, for the systems on tungsten, has not been determined as accurately as for the systems on graphite. On the contrary, we expect that  $v_{11}^{}$  as given in Table I is an upper bound to the real value, thereby giving a less satisfactory result for  $T_{\rm c}$ . Again, the reason for this has to be found in the structural properties of these metal layers on tungsten surfaces: the lateral periodicity of the adsorbate is very close  $^{26}$  (if not identical) to that of the substrate whose effects on the  $T_{_{\mbox{\scriptsize C}}}$ 's are not taken into account by the MQH model.

In Fig. 3 we show the two-dimensional phase diagram which can be obtained within the limits of the MQH model. The displayed curve divides the plane into two regions that are very much reminiscent of the liquid-vapor equilibrium of the bulk phase. Compared with available experimental data of chemisorbed metals on tungsten, we find that: (1) the critical coverage ( $\theta_{\rm c} \approx 0.8$ ) in the MQH model is much larger than the experimental results ( $\theta_{\rm c} \approx 0.3$ ), and (2) the ratios  ${}^{-}{}^{\rm T}_{\rm c}/{}^{\rm V}_{11}$  for chemisorbed metals are larger than those from the MQH model. Since this model contains only the interaction strength between two occupied pairs ( ${}^{\rm V}_{11}$ ), it

does not take into account the adparticle size as well as substrate effects. If we assume the simple Lennard-Jones pairwise interaction potential between adparticles and fix the monolayer density, then  $\theta_{\rm C}$  is inversely proportional to the hard-core diameter  $\sigma$  of the adatom. Thus, the MQH model, which neglects the size of the adatom, will give large values for the critical coverage. Also, the adatoms are bound more strongly to the substrate atoms than to themselves, so that fewer electrons are available for lateral bonding. The strong adatom-substrate interaction reduces  $V_{11}$ , which in turn increases the ratio  ${}^{-T}_{\rm C}/{}^{V}_{11}$ . We should further mention that at very low and at high coverages the model might fail because of the substrate inhomogeneity and because of multilayer effects, respectively.

In conclusion, the MQH model is very appealing for describing heat-capacity anomalies of adsorbate-substrate systems, provided (i) no solid commensurate phase is involved in the region around  $T_{\rm c}$ , (ii) the pairwise interaction  $V_{\rm II}$  among nearest-neighbor adparticles is the parameter that plays the major role in transition process, with only lower-order effects due to the substrate, and (iii) the transition is not of first order. These conditions are not very restrictive, and moreover, they are not independent because very often the first one implies the second, as has been already discussed, and in many instances also the third one, since several transitions from or into commensurate phases have been predicted to be of first order.  $^{38}$ 

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Table I

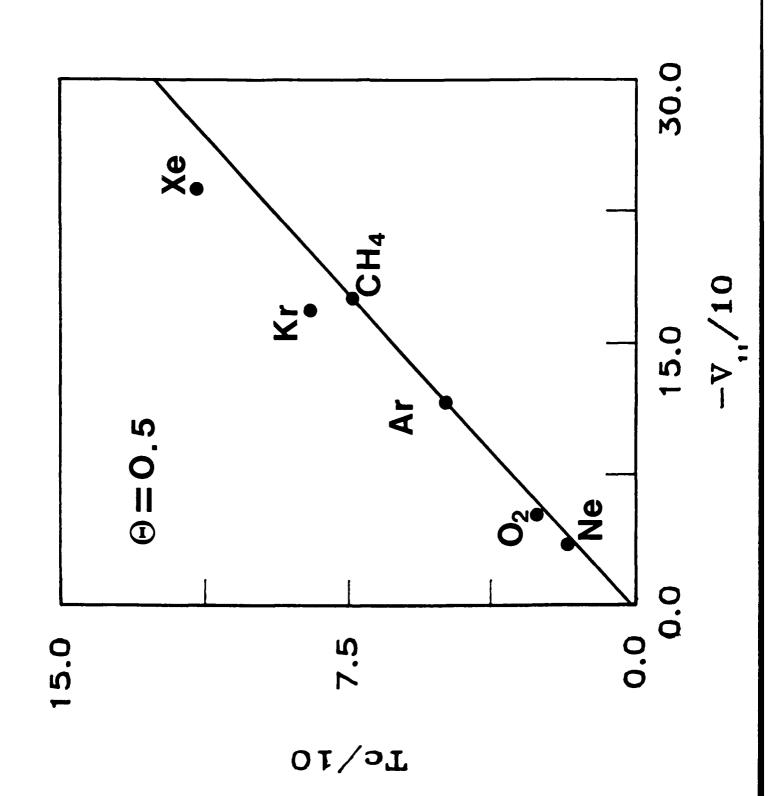
Computed ( $T_c$ ) and experimental ( $T_{Exp}$ ) critical temperatures of Ne, Ar, Kr, Xe,  $O_2$  and CH<sub>4</sub> on graphite (coverage  $\theta$  = 0.5) and Cu, Ag, Au, Ni and Pd on W[110] (coverage  $\theta$  = 0.3).  $V_{11}$  are the adatom-adatom interaction strengths. All data are in Kelvin.

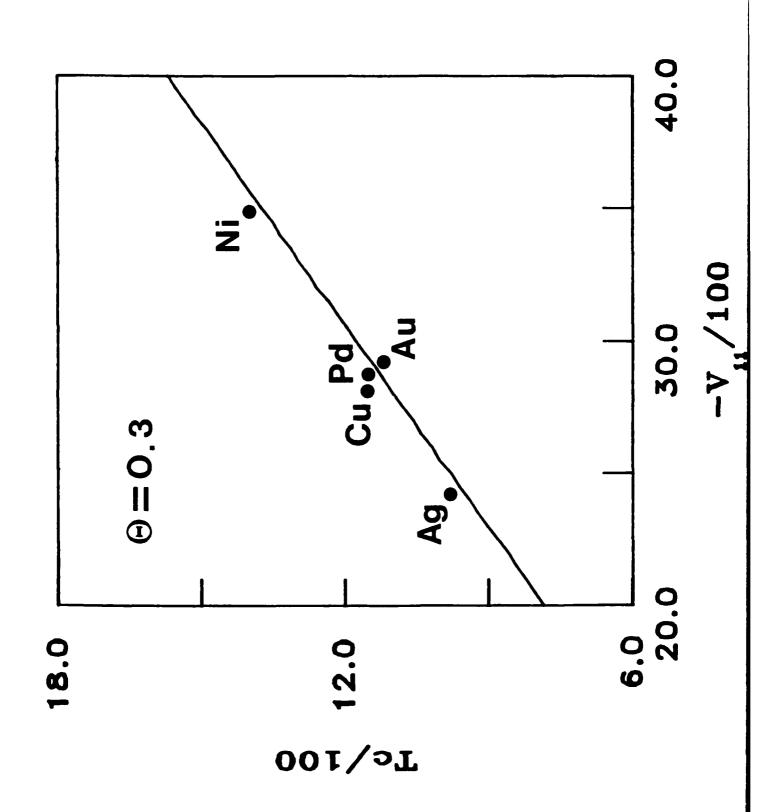
	-V <mark>11</mark>	<u>т</u> <sub>с</sub>	T <sup>b</sup> ) Exp
Ne	35	15	16
Ar	120	51	50
Kr	170	71	85
Хe	236	102	118
02	54	23	25
CH <sub>4</sub>	177	75	75
Cu	2850	1120	1170
Ag	2360	927	980
Au	2910	1143	1130
Ni	3430	1348	1400
Pd	2900	1140	1170

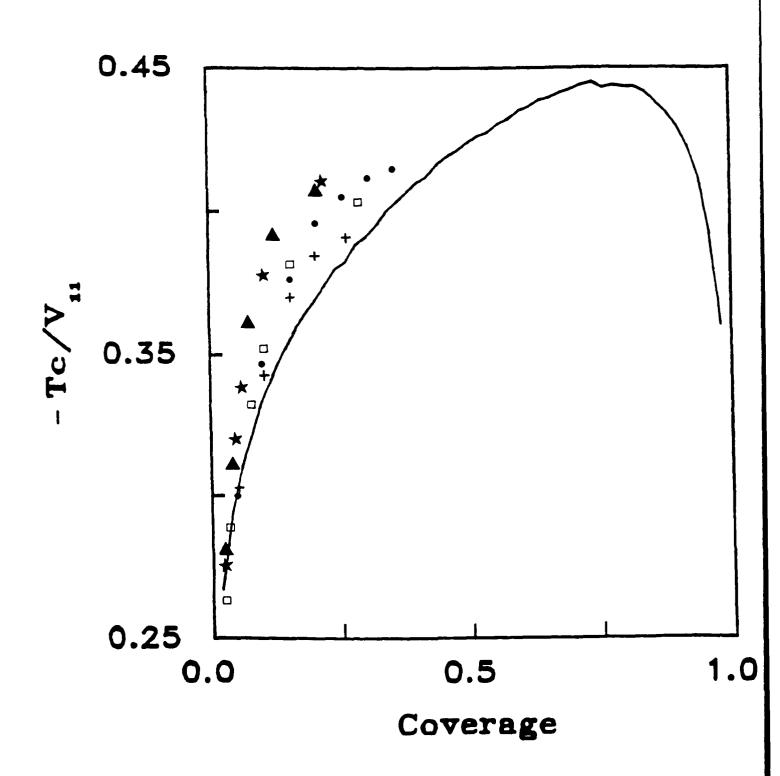
- a) Taken from Refs. 8 and 9 for Ne, Ar, Kr, Xe and  $\mathrm{CH_4}$ , from Refs. 12 and 13 for  $\mathrm{O_2}$ , and from Ref. 14 for Cu, Ag, Au, Ni and Pd.
- b) Taken from Ref. 8 for Ne, Xe and  $CH_4$ , from Ref. 21 for Ar, from Refs. 18-20 for Kr, from Ref. 16 for  $O_2$ , from Ref. 14 for Cu, Ag, Ni and Pd, and from Ref. 27 for Au.

#### Figure Captions

- 1. The solid line shows critical temperatures  $T_{\rm c}$  from the MQH model as a function of the adatom-adatom interaction strength  $V_{11}$ , in the range 0-30 meV. Circles represent available data for some adparticles on graphite.
- 2. The solid line shows critical temperature  $T_c$  from the MQH model as a function of the adatom-adatom strength  $v_{11}$  in the range 150-350 meV. Circles represent results from Ref. 14.
- 3. Phase diagram from the MQH model. Solid line: model phase boundary; ★:Cu,
  ∆:Ni, •:Ag, □:Pd, +:Au on W(110) from experimental data (Ref. 14).







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Defense Technical Information Center Building 5, Cameron Station Alexandria, Virginia 22314	12 high quality	U.S. Army Research Office Attn: CRD-AA-IP P.O. Box 12211 Research Triangle Park, NC 27709	1
DTNSRDC Attn: Dr. H. Singerman Applied Chemistry Division Annapolis, Maryland 21401	1	Mr. John Boyle Materials Branch Naval Ship Engineering Center Philadelphia, Pennsylvania 19112	
Dr. William Tolles Superintendent Chemistry Division, Code 6100 Naval Research Laboratory	1	Naval Ocean Systems Center Attn: Dr. S. Yamamoto Marine Sciences Division San Diego, California 91232	1
Washington, D.C. 20375-5000		Dr. David L. Nelson Chemistry Division Office of Naval Research 800 North Quincy Street Arlington, Virginia 22217	1

Dr. J. E. Jensen Hughes Research Laboratory 3011 Malibu Canyon Road Malibu, California 90265

Dr. J. H. Weaver
Department of Chemical Engineering
and Materials Science
University of Minnesota
Minneapolis, Minnesota 55455

Dr. A. Reisman Microelectronics Center of North Carolina Research Triangle Park, North Carolina 27709

Dr. M. Grunze Laboratory for Surface Science and Technology University of Maine Orono, Maine 04469

Dr. J. Butler Naval Research Laboratory Code 6115 Washington D.C. 20375-5000

Or. L. Interante Chemistry Department Rensselaer Polytechnic Institute Troy, New York 12181

Dr. Irvin Heard Chemistry and Physics Department Lincoln University Lincoln University, Pennsylvania 19352

Dr. K.J. Klaubunde Department of Chemistry Kansas State University Manhattan, Kansas 66506 Dr. C. B. Harris Department of Chemistry University of California Berkeley, California 94720

Dr. F. Kutzler
Department of Chemistry
Box 5055
Tennessee Technological University
Cookesville, Tennessee 38501

Dr. D. Dilella Chemistry Department George Washington University Washington D.C. 20052

Dr. R. Reeves Chemistry Department Renssaeler Polytechnic Institute Troy, New York 12181

Dr. Steven M. George Stanford University Department of Chemistry Stanford, CA 94305

Dr. Mark Johnson Yale University Department of Chemistry New Haven, CT 06511-8118

Dr. W. Knauer Hughes Research Laboratory 3011 Malibu Canyon Road Malibu, California 90265

Cr. G. A. Somorjai Department of Chemistry University of California Berkeley, California 94720

Dr. J. Murday Naval Research Laboratory Code 6170 Washington, D.C. 20375-5000

Dr. J. B. Hudson Materials Division Rensselaer Polytechnic Institute Troy, New York 12181

Dr. Theodore E. Madey Surface Chemistry Section Department of Commerce National Bureau of Standards Washington, D.C. 20234

Dr. J. E. Demuth
IBM Corporation
Thomas J. Watson Research Center
P.O. Box 218
Yorktown Heights, New York 10598

Dr. M. G. Lagally
Department of Metallurgical
and Mining Engineering
University of Wisconsin
Madison, Wisconsin 53706

Dr. R. P. Van Duyne Chemistry Department Northwestern University Evanston, Illinois 60637

Dr. J. M. White Department of Chemistry University of Texas Austin, Texas 78712

Or. D. E. Harrison Department of Physics Naval Postgraduate School Monterey, California 93940 Dr. R. L. Park
Director, Center of Materials
Research
University of Maryland
College Park, Maryland 20742

Dr. W. T. Peria Electrical Engineering Department University of Minnesota Minneapolis, Minnesota 55455

Dr. Keith H. Johnson
Department of Metallurgy and
Materials Science
Massachusetts Institute of Technology
Cambridge, Massachusetts 02139

Dr. S. Sibener
Department of Chemistry
James Franck Institute
5640 Ellis Avenue
Chicago, Illinois 60637

Dr. Arnold Green Quantum Surface Dynamics Branch Code 3817 Naval Weapons Center China Lake, California 93555

Dr. A. Wold Department of Chemistry Brown University Provicence, Rhode Island 02912

Dr. S. L. Bernasek Department of Chemistry Princeton University Princeton, New Jersey 08544

Dr. W. Kohn
Department of Physics
University of California, San Diego
La Jolla, California 92037

Dr. F. Carter Code 6170 Naval Research Laboratory Washington, D.C. 20375-5000

Or. Richard Colton Code 6170 Naval Research Laboratory Washington, D.C. 20375-5000

Dr. Dan Pierce National Bureau of Standards Optical Physics Division Washington, D.C. 20234

Dr. R. Stanley Williams
Department of Chemistry
University of California
Los Angeles, California 90024

Dr. R. P. Messmer Materials Characterization Lab. General Electric Company Schenectady, New York 22217

Dr. Robert Gomer Department of Chemistry James Franck Institute 5640 Ellis Avenue Chicago, Illinois 60637

Dr. Ronald Lee R301 Naval Surface Weapons Center White Oak Silver Spring, Maryland 20910

Dr. Paul Schoen Code 6190 Naval Research Laboratory Washington, D.C. 20375-5000 Dr. John T. Yates Department of Chemistry University of Pittsburgh Pittsburgh, Pennsylvania 15260

Dr. Richard Greene Code 5230 Naval Research Laboratory Washington, D.C. 20375-5000

Dr. L. Kesmodel
Department of Physics
Indiana University
Bloomington, Indiana 47403

Dr. K. C. Janda University of Pittsburg Chemistry Building Pittsburg, PA 15260

Dr. E. A. Irene Department of Chemistry University of North Carolina Chapel Hill, North Carolina 27514

Dr. Adam Heller Bell Laboratories Murray Hill, New Jersey 07974

Dr. Martin Fleischmann Department of Chemistry University of Southampton Southampton 509 5NH UNITED KINGDOM

Dr. H. Tachikawa Chemistry Department Jackson State University Jackson, Mississippi 39217

Dr. John W. Wilkins Cornell University Laboratory of Atomic and Solid State Physics Ithaca, New York 14853

Dr. R. G. Wallis Department of Physics University of California Irvine, California 92664

Or. D. Ramaker Chemistry Department George Washington University Washington, D.C. 20052

Or. J. C. Hemminger Chemistry Department University of California Irvine, California 92717

Dr. T. F. George Chemistry Department University of Rochester Rochester, New York 14627

Or. G. Rubloff
IBM
Thomas J. Watson Research Center
P.O. Box 218
Yorktown Heights, New York 10598

Dr. Horia Metiu Chemistry Department University of California Santa Barbara, California 93106

Dr. W. Goddard
Department of Chemistry and Chemical
Engineering
California Institute of Technology
Pasadena, California 91125

Or. P. Hansma Department of Physics University of California Santa Barbara, California 93106

Dr. J. Baldeschwieler
Department of Chemistry and
Chemical Engineering
California Institute of Technology
Pasadena, California 91125

Dr. J. T. Keiser Department of Chemistry University of Richmond Richmond, Virginia 23173

Or. R. W. Plummer Department of Physics University of Pennsylvania Philadelphia, Pennsylvania 19104

Or. E. Yeager Department of Chemistry Case Western Reserve University Cleveland, Ohio 41106

Dr. N. Winograd
Department of Chemistry
Pennsylvania State University
University Park, Pennsylvania 16802

Dr. Roald Hoffmann Department of Chemistry Cornell University Ithaca, New York 14853

Dr. A. Steckl
Department of Electrical and
Systems Engineering
Rensselaer Polytechnic Institute
Troy, NewYork 12181

Dr. G.H. Morrison Department of Chemistry Cornell University Ithaca, New York 14853

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